

Notice of meeting of

Audit & Governance Committee

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| To: | Councillors B Watson (Chair), Brooks (Vice-Chair), Bowgett, Jamieson-Ball, Scott, Kirk and R Watson |
| Date: | Monday, 21 December 2009 |
| Time: | 5.30 pm |
| Venue: | The Guildhall, York |

AGENDA

Note:

As agreed at previous meetings, the Chief Internal Auditor and District Auditor (Audit Commission) will be present in the meeting room from 5:00 pm to provide a private briefing for Members, if required.

1. Declarations of Interest

At this point Members are asked to declare any personal or prejudicial interests they may have in the business on this agenda.

2. Exclusion of Press and Public

To consider the exclusion of the press and public from the meeting during consideration of the following:

Annex B to Agenda Item 10 (Key Corporate Risk Monitor Quarter Three 2009/10) on the grounds that it contains information relating to negotiations in connection with a labour relations matter arising between the authority and employees of the authority. This information is classed as exempt under paragraph 4 of Schedule 12A to Section 100A of the Local Government Act 1972 (as revised by The Local Government (Access to Information) (Variation) Order 2006).

3. Minutes (Pages 3 - 6)

To approve and sign the minutes of the meeting of the Audit & Governance Committee held on 4 November 2009.

4. Public Participation

At this point in the meeting members of the public who have registered their wish to speak regarding an item on the agenda or an issue within the Committee's remit can do so. The deadline for registering is **5:00 pm on Friday, 18 December 2009.**

5. Comprehensive Area Assessment 2009 (Pages 7 - 70)

This report presents to Audit & Governance Committee the Audit Commission's final reports for the 2009 Comprehensive Area Assessment.

6. Response to Comprehensive Area Assessment 2009 (including Improvement Plan 2009/10 Update) (Pages 71 - 82)

This report provides an initial response to the findings highlighted in the 2009 Comprehensive Area Assessment reports. The response includes the delivery of the 2009/10 milestones contained within the Council's Improvement Plan as approved by Executive on 21 July 2009.

7. Asset Management Report 2008/09 - Audit Commission (Pages 83 - 102)

This report invites Members to consider the Asset Management Report produced by the Audit Commission as part of the 2008/09 audit, which reviews the Council's arrangements for a strategic approach to asset management, the management of assets to deliver value for money and partnership and community involvement in asset management.

8. Asset Management - The Way Forward (Pages 103 - 112)

This report responds to the Audit Commission's report in the previous item on the Council's performance in asset management, and to a national report issued by the Audit Commission in June 2008, and outlines the objectives of the Council's approved Property Blueprint included in the More for York programme.

9. Data Quality Policy - Progress Report (Pages 113 - 118)

This report outlines the progress made towards implementing and embedding a data quality policy across the Council and provides feedback from the Audit Commission on the Council's Data Quality Arrangements under Use of Resources Key Lines of Enquiry (KLOE) 2.2, as part of the Organisational Assessment.

10. Key Corporate Risk Monitor Quarter Three 2009/10 (Pages 119 - 138)

This report presents details of the revised risks associated with the refreshed Key Corporate Risks (KCRs) as at the third quarter of 2009/10.

11. Audit and Counter Fraud Mid-Term Monitor (Pages 139 - 174)

This report provides details of the progress made in delivering the Internal Audit and Counter Fraud work plan for 2009/10 and asks Members to consider and approve revised Terms of Reference for Internal Audit.

12. Follow Up of Audit Recommendations (Pages 175 - 180)

This report sets out the progress made by departments in implementing those agreed audit recommendations which were due for implementation by 31 October 2009.

13. Review of the Audit & Governance Committee's Terms of Reference (Articles & Functions) (Pages 181 - 192)

This report asks Members to consider proposed revisions to the Audit & Governance Committee's Terms of Reference (described as Articles and Functions in the Council's Constitution) and to recommend these changes to Full Council for approval.

14. International Financial Reporting Standards (IFRS) Update (Pages 193 - 196)

This report provides an update on progress being made towards implementing the statutory required changes in financial reporting from UK General Accepted Accounting Practice (GAAP) to International Financial Reporting Standards (IFRS).

15. Annual Review of the Effectiveness of the System of Internal Audit (Pages 197 - 200)

This report seeks Members' views on future arrangements for undertaking the review the effectiveness of the Council's system of internal audit.

16. Urgent Business

Any other business which the Chair considers urgent under the Local Government Act 1972.

Democracy Officer:

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For more information about any of the following please contact the Democracy Officer responsible for servicing this meeting:

- Registering to speak
- Business of the meeting
- Any special arrangements
- Copies of reports

Contact details are set out above.